

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

ALCA1910-1

First Inventor or Application Identifier

David Sawey, et al.

Title

**Method and System for Frame and Pointer Alignment of
Sonet Data Channels**

Express Mail Label No.

EL911433024US**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 202311. Fee Transmittal for FY 2001
(Submit an original and a duplicate for fee processing)6. Microfiche Computer Program (Appendix)2. Specification [Total Pages] **47**7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)

- x - Descriptive Title of the Invention
- x - Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Description of Related Art
- x - Field of the Invention
- x - Summary of the Invention
- x - Brief Description of the Drawings (if filed)
- x - Detailed Description
- x - Claim(s)
- x - Abstract of the Disclosure

3. Drawing(s) (35 USC 113) [Total Sheets] **6****ACCOMPANYING APPLICATION PARTS**8. Assignment Papers (cover sheet & document(s))4. Oath or Declaration [Total Pages] **2**9. 37 CFR 3.73(b)
Statement
(when there is an assignee) Power of Attorneya. Newly executed (original or copy)10. English Translation Document (if applicable)b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)11. Information Disclosure
Statement (IDS)/PTO-1449 Copies of IDS
Citations

- i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

12. Preliminary Amendment5. Incorporation By Reference (useable if box 4b is checked).
The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 4b, is considered to be part of the disclosure
of the accompanying application and is hereby
incorporated by reference therein.13. Return Receipt Postcard

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

 Continuation Divisional Continuation-In-Part (CIP) of prior Application No.: _____

Prior application information: Examiner _____ Group / Art Unit _____

 Claims the benefit of Provisional Application No. 60/236,619 filed September 29, 2000**CORRESPONDENCE ADDRESS:**

Customer Number Label:

Name: **Armando Pastrana, Jr.**Ph: **512-457-7080**Fax: **512-457-7070****25094**

PATENT TRADEMARK OFFICE

TYPED or PRINTED NAME Armando Pastrana, Jr.REGISTRATION NO. 44,997SIGNATURE Armando Pastrana, Jr.DATE: September 28, 2001

FEE TRANSMITTAL for FY 2001

TOTAL AMOUNT OF PAYMENT (\$ 1046.00)

Attorney Docket No. ALCA1910-1

Complete if Known

| | |
|----------------------|---------------------|
| Application Number | |
| Filing Date | September 28, 2001 |
| First Named Inventor | David Sawey, et al. |
| Examiner Name | Unknown |
| Group / Art Unit | Unknown |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **50-0456**

Deposit Account Name **Gray Cary Ware & Freidenrich LLP**

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity | | Small Entity | |
|--------------|-----|--------------|-----|
| Code | \$ | Code | \$ |
| 101 | 710 | 201 | 355 |
| 106 | 310 | 206 | 155 |
| 107 | 480 | 207 | 240 |
| 108 | 690 | 208 | 345 |
| 114 | 150 | 214 | 75 |

| Fee Description | Fee Paid |
|------------------------|-------------------------------------|
| Utility Filing Fee | <input checked="" type="checkbox"/> |
| Design Filing Fee | <input type="checkbox"/> |
| Plant Filing Fee | <input type="checkbox"/> |
| Reissue Filing Fee | <input type="checkbox"/> |
| Provisional Filing Fee | <input type="checkbox"/> |

SUBTOTAL (1)

(\$710.00)

2. EXTRA CLAIM FEES

| | | Extra Claims X | Fee from below = | Fee Paid |
|---------------------------|-----------|----------------|------------------|----------------|
| Claims | 32 | -20 | 12 | \$18.00 |
| Ind. Clms | 4 | -3 | 1 | \$80.00 |
| Multiple Dependent Claims | | | 0 | 0 |

| Large Entity | | Small Entity | |
|--------------|----|--------------|----|
| Code | \$ | Code | \$ |

| Fee Description | | | |
|-----------------|--|--|--|
|-----------------|--|--|--|

| | | | | |
|-----|-----|-----|-----|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Indep. claims in excess of 3 |
| 104 | 260 | 204 | 130 | Multiple dependent claim |
| 109 | 78 | 209 | 39 | Reissue indep. claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$296.00)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------------|--------------|-----------------|----------|
| 105 | 130 | 205 | 65 |
| 127 | 50 | 227 | 25 |
| 147 | 2520 | 147 | 2520 |
| 112 | 920* | 112 | 920* |
| 113 | 1840* | 113 | 1840* |
| 115 | 110 | 215 | 55 |
| 116 | 380 | 216 | 190 |
| 117 | 870 | 217 | 435 |
| 118 | 1360 | 218 | 680 |
| 119 | 300 | 219 | 150 |
| 120 | 300 | 220 | 150 |
| 121 | 260 | 221 | 130 |
| 138 | 1510 | 138 | 1510 |
| 140 | 110 | 240 | 55 |
| 141 | 1210 | 241 | 605 |
| 142 | 1210 | 242 | 605 |
| 143 | 430 | 243 | 215 |
| 144 | 580 | 244 | 290 |
| 122 | 130 | 122 | 130 |
| 123 | 50 | 123 | 50 |
| 126 | 240 | 126 | 240 |
| 581 | 40 | 581 | 40 |
| 146 | 690 | 246 | 345 |
| 149 | 690 | 249 | 345 |
| Other fee (specify) _____ | | | |
| Other fee (specify) _____ | | | |

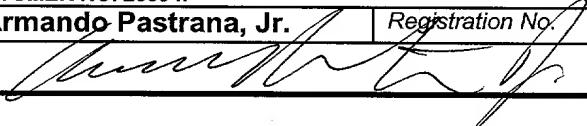
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$40.00)

SUBMITTED BY CUSTOMER NO. 25094:

Complete (if applicable)

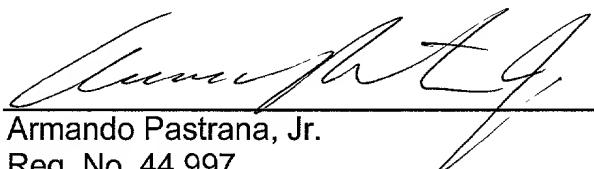
| | | | | | |
|-----------|---|------------------|--------|-----------|----------------|
| Name | Armando Pastrana, Jr. | Registration No. | 44,997 | Telephone | (512) 457-7080 |
| Signature |  | | Date | 09/28/01 | |

| | |
|--|---|
| REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i) | First Named Inventor David Sawey, et al. |
| Title | Method and System for Frame and Pointer Alignment of Sonet Data Channels |
| Atty. Docket: | ALCA1910-1 |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

September 28, 2001

Date


Armando Pastrana, Jr.

Reg. No. 44,997

This request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 C.F.R. 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: David Sawey and Edmund Cher
Date Filed: September 28, 2001
Title: **METHOD AND SYSTEM FOR FRAME AND POINTER ALIGNMENT
OF SONET DATA CHANNELS**

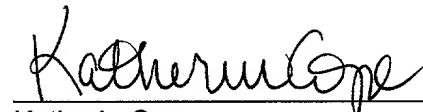
BOX PATENT APPLICATIONS

Hon. Asst. Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Utility Patent Application Transmittal Form, Utility Patent Application, Fee Transmittal, Executed Declaration and Power of Attorney, Executed Assignment and Assignment Recordation Cover Sheet, Request for Non-Publication, Drawings and Postcard are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 28th day of September 2001, and is addressed to the Asst. Commissioner of Patents, ATTN: Box Patent Applications, Washington, D.C. 20231.



Katherin Cope

Express Mail Receipt No.:
EL911433024US
Attorney's Docket:
ALCA1910-1